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Clique-Width for Graph Classes Closed under Complementation*

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Abstract

Clique-width is an important graph parameter due to its algorithmic and structural properties. A graph class is hereditary if it can be characterized by a (not necessarily finite) set \mathcal{H} of forbidden induced subgraphs. We initiate a systematic study into the boundedness of clique-width of hereditary graph classes closed under complementation. First, we extend the known classification for the $|\mathcal{H}| = 1$ case by classifying the boundedness of clique-width for every set \mathcal{H} of self-complementary graphs. We then completely settle the $|\mathcal{H}| = 2$ case. In particular, we determine one new class of (H, \overline{H}) -free graphs of bounded clique-width (as a side effect, this leaves only six classes of (H_1, H_2) -free graphs, for which it is not known whether their clique-width is bounded). Once we have obtained the classification of the $|\mathcal{H}| = 2$ case, we research the effect of forbidding self-complementary graphs on the boundedness of clique-width. Surprisingly, we show that for a set \mathcal{F} of self-complementary graphs on at least five vertices, the classification of the boundedness of clique-width for $(\{H, \overline{H}\} \cup \mathcal{F})$ -free graphs coincides with the one for the $|\mathcal{H}| = 2$ case if and only if \mathcal{F} does not include the bull (the only non-empty self-complementary graphs on fewer than five vertices are P_1 and P_4 , and P_4 -free graphs have clique-width at most 2). Finally, we discuss the consequences of our results for COLOURING.

1998 ACM Subject Classification G.2.2 Graph Theory

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1 Introduction

Many graph-theoretic problems that are computationally hard for general graphs may still be solvable in polynomial time if the input graph can be decomposed into large parts of “similarly


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behaving” vertices. Such decompositions may lead to an algorithmic speed up and are often defined via some type of graph construction. One particular type is to use vertex labels and to allow certain graph operations, which ensure that vertices labelled alike will always keep the same label and thus behave identically. The clique-width $\text{cw}(G)$ of a graph G is the minimum number of different labels needed to construct G using four such operations (see Section 2 for details). Clique-width has been studied extensively both in algorithmic and structural graph theory. The main reason for its popularity is that, indeed, many well-known NP-hard problems [14, 25, 35, 40], such as COLOURING and HAMILTON CYCLE, become polynomial-time solvable on any graph class \mathcal{G} of *bounded* clique-width, that is, for which there exists a constant c , such that every graph in \mathcal{G} has clique-width at most c . GRAPH ISOMORPHISM is also polynomial-time solvable on such graph classes [30]. Having bounded clique-width is equivalent to having bounded rank-width [39] and having bounded NLC-width [33], two other well-known width-parameters. However, despite these close relationships, clique-width is a notoriously difficult graph parameter, and our understanding of it is still very limited. For instance, no polynomial-time algorithms are known for computing the clique-width of very restricted graph classes, such as unit interval graphs, or for deciding whether a graph has clique-width at most 4.¹ In order to get a better understanding of clique-width and to identify new “islands of tractability” for central NP-hard problems, many graph classes of bounded and unbounded clique-width have been identified; see, for instance, the Information System on Graph Classes and their Inclusions [24], which keeps a record of such graph classes. In this paper we study the following research question:

What kinds of properties of a graph class ensure that its clique-width is bounded?

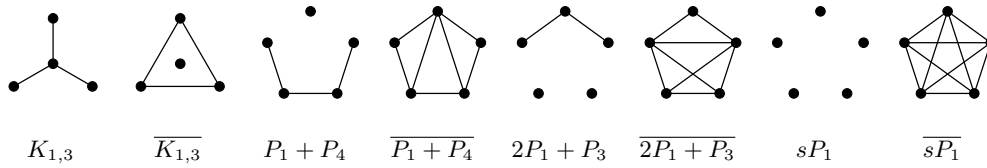
We refer to the surveys [31, 34] for examples of such properties. Here, we consider graph complements. The *complement* \overline{G} of a graph G is the graph with vertex set V_G and edge set $\{uv \mid uv \notin E(G)\}$ and has clique-width $\text{cw}(\overline{G}) \leq 2\text{cw}(G)$ [15]. This result implies that a graph class \mathcal{G} has bounded clique-width if and only if the class consisting of all complements of graphs in \mathcal{G} has bounded clique-width. Due to this, we initiate a *systematic* study of the boundedness of clique-width for graph classes \mathcal{G} *closed under complementation*, that is, for every graph $G \in \mathcal{G}$, its complement \overline{G} also belongs to \mathcal{G} .

To get a handle on graph classes closed under complementation, we restrict ourselves to graph classes that are not only closed under complementation but also under vertex deletion. This is a natural assumption, as deleting a vertex does not increase the clique-width of a graph. A graph class closed under vertex deletion is said to be *hereditary* and can be characterized by a (not necessarily finite) set \mathcal{H} of forbidden induced subgraphs. Over the years many results on the (un)boundedness of clique-width of hereditary graph classes have appeared. We briefly survey some of these results below.

A hereditary graph class of graphs is *monogenic* or *H -free* if it can be characterized by one forbidden induced subgraph H , and *bigenic* or *(H_1, H_2) -free* if it can be characterized by two forbidden induced subgraphs H_1 and H_2 . It is well known (see [23]) that a class of H -free graphs has bounded clique-width if and only if H is an induced subgraph of P_4 .² By combining known results [3, 5, 7, 8, 9, 10, 11, 17, 18, 21, 38] with new results for bigenic graph classes, Dabrowski and Paulusma [23] classified the (un)boundedness of clique-width of (H_1, H_2) -free graphs for all but 13 pairs (H_1, H_2) (up to an equivalence relation). Afterwards, five new classes of (H_1, H_2) -free graphs were identified by Dross et al. [16] and recently,

¹ It is known that computing clique-width is NP-hard in general [27] and that deciding whether a graph has clique-width at most 3 is polynomial-time solvable [13].

² We refer to Section 2 for all the notation used in this section.



■ **Figure 1** Graphs H for which the clique-width of (H, \overline{H}) -free graphs is bounded ($s = 5$ is shown).

another one was identified by Dabrowski et al. [19]. This means that only seven cases (H_1, H_2) remained open. Other systematic studies were performed for H -free weakly chordal graphs [5], H -free chordal graphs [5] (two open cases), H -free triangle-free graphs [19] (two open cases), H -free bipartite graphs [22], H -free split graphs [4] (two open cases), and \mathcal{H} -free graphs where \mathcal{H} is any set of 1-vertex extensions of the P_4 [6] or any set of graphs on at most four vertices [7]. Clique-width results or techniques for these graph classes impacted upon each other and could also be used for obtaining new results for bigenic graph classes.

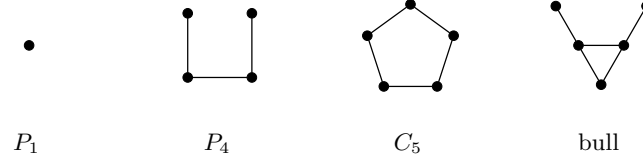
Our Contribution Recall that we investigate the clique-width of hereditary graph classes closed under complementation. A graph that contains no induced subgraph isomorphic to a graph in a set \mathcal{H} is said to be \mathcal{H} -free. We first consider the $|\mathcal{H}| = 1$ case. The class of H -free graphs is closed under complementation if and only if H is a self-complementary graph, that is, $H = \overline{H}$. Self-complementary graphs have been extensively studied; see [26] for a survey. From the aforementioned result for P_4 -free graphs, we find that the only self-complementary graphs H for which the class of H -free graphs has bounded clique-width are $H = P_1$ and $H = P_4$. In Section 3 we prove the following generalization of this result.

► **Theorem 1.** *Let \mathcal{H} be a set of non-empty self-complementary graphs. Then the class of \mathcal{H} -free graphs has bounded clique-width if and only if either $P_1 \in \mathcal{H}$ or $P_4 \in \mathcal{H}$.*

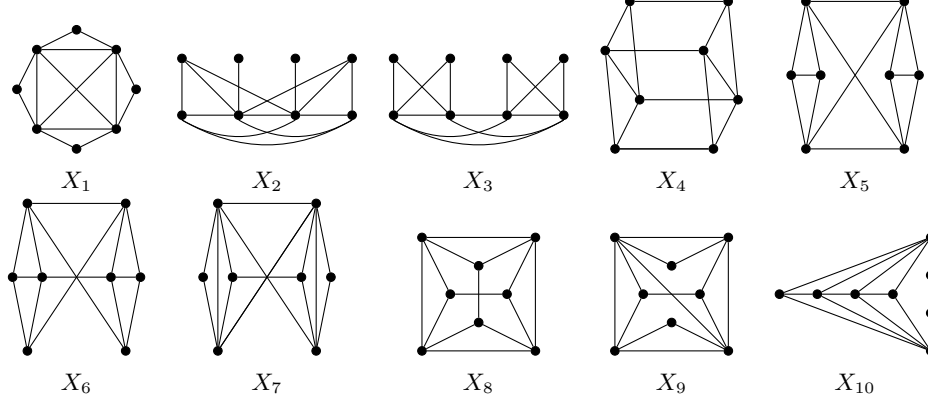
We now consider the $|\mathcal{H}| = 2$ case. Let $\mathcal{H} = \{H_1, H_2\}$. Due to Theorem 1 we may assume $H_2 = \overline{H_1}$ and H_1 is not self-complementary. The class of $(2P_1 + P_3, \overline{2P_1 + P_3})$ -free graphs was one of the seven remaining bigenic graph classes, and the only bigenic graph class closed under complementation, for which boundedness of clique-width was open. We settle this case by proving in Section 4 that the clique-width of this class is bounded. In the same section we combine this new result with known results to prove the following theorem, which, together with Theorem 1, shows to what extent the property of being closed under complementation helps with bounding the clique-width for bigenic graph classes (see also Figure 1).

► **Theorem 2.** *For a graph H , the class of (H, \overline{H}) -free graphs has bounded clique-width if and only if H or \overline{H} is an induced subgraph of $K_{1,3}$, $P_1 + P_4$, $2P_1 + P_3$ or sP_1 for some $s \geq 1$.*

For the $|\mathcal{H}| = 3$ case, where $\{H_1, H_2, H_3\} = \mathcal{H}$, we observe that a class of (H_1, H_2, H_3) -free graphs is closed under complementation if and only if either every H_i is self-complementary, or one H_i is self-complementary and the other two graphs H_j and H_k are complements of each other. By Theorem 1, we only need to consider $(H_1, \overline{H_1}, H_2)$ -free graphs, where H_1 is not self-complementary, H_2 is self-complementary, and neither H_1 nor H_2 is an induced subgraph of P_4 . The next two smallest self-complementary graphs H_2 are the C_5 and the bull (see also Figure 2). Observe that any self-complementary graph on n vertices must contain $\frac{1}{2}\binom{n}{2}$ edges and this number must be an integer, so $n = 4q$ or $n = 4q + 1$ for some integer $q \geq 0$. There are exactly ten non-isomorphic self-complementary graphs on eight vertices [41] and we depict these in Figure 3.



■ **Figure 2** The four non-empty self-complementary graphs on less than eight vertices [41].



■ **Figure 3** The ten self-complementary graphs on eight vertices [41].

It is known that split graphs, or equivalently, $(2P_2, \overline{2P_2}, C_5)$ -free graphs have unbounded clique-width [38]. In Section 5 we determine three new hereditary graph classes of unbounded clique-width, which imply that the class of (H, \overline{H}, C_5) -free graphs has unbounded clique-width if $H \in \{K_{1,3} + P_1, 2P_2, 3P_1 + P_2, S_{1,1,2}\}$. By combining this with known results, we discovered that the classification of boundedness of clique-width for (H, \overline{H}, C_5) -free graphs coincides with the one of Theorem 2. This raised the question of whether the same is true for other sets of self-complementary graphs $\mathcal{F} \neq \{C_5\}$. If \mathcal{F} contains the bull, then the answer is negative: by Theorem 2, the class of $(S_{1,1,2}, \overline{S_{1,1,2}})$ -free graphs and the class of $(2P_2, C_4)$ -free graphs both have unbounded clique-width, but both the class of $(S_{1,1,2}, \overline{S_{1,1,2}}, \text{bull})$ -free graphs and even the class of $(P_5, \overline{P_5}, \text{bull})$ -free graphs have bounded clique-width [6]. However, also in Section 5, we prove that the bull is the *only* exception (apart from the trivial cases when $H' \in \{P_1, P_4\}$ which yield bounded clique-width of (H, \overline{H}, H') -free graphs for any graph H).

► **Theorem 3.** *Let \mathcal{F} be a set of self-complementary graphs on at least five vertices not equal to the bull. For a graph H , the class of $(\{H, \overline{H}\} \cup \mathcal{F})$ -free graphs has bounded clique-width if and only if H or \overline{H} is an induced subgraph of $K_{1,3}$, $P_1 + P_4$, $2P_1 + P_3$ or sP_1 for some $s \geq 1$.*

Consequences Due to our result for $(2P_1 + P_3, \overline{2P_1 + P_3})$ -free graphs, we can update the summary of [19] for the clique-width of bigenic graph classes and reduce the number of open cases from seven to six.

► **Open Problem 1.** *Have (H_1, H_2) -free graphs bounded or unbounded clique-width when:*

- (i) $H_1 = 3P_1$ and $\overline{H_2} \in \{P_1 + S_{1,1,3}, S_{1,2,3}\}$;
- (ii) $H_1 = 2P_1 + P_2$ and $\overline{H_2} \in \{P_1 + P_2 + P_3, P_1 + P_5\}$;
- (iii) $H_1 = P_1 + P_4$ and $\overline{H_2} \in \{P_1 + 2P_2, P_2 + P_3\}$.

Another consequence of our result for $(2P_1 + P_3, \overline{2P_1 + P_3})$ -free graphs is that COLOURING is polynomial-time solvable for this graph class. This result was used by Blanché et al. [2]:

► **Theorem 4** ([2]). *Let $H, \overline{H} \notin \{(s+1)P_1 + P_3, sP_1 + P_4 \mid s \geq 2\}$. Then COLOURING is polynomial-time solvable for (H, \overline{H}) -free graphs if H or \overline{H} is an induced subgraph of $K_{1,3}$, $P_1 + P_4$, $2P_1 + P_3$, $P_2 + P_3$, P_5 , or $sP_1 + P_2$ for some $s \geq 0$ and it is NP-complete otherwise.*

Comparing Theorems 2 and 4 shows that there are graph classes of unbounded clique-width closed under complementation for which COLOURING is polynomial-time solvable. Nevertheless, on many graph classes, polynomial-time solvability of NP-hard problems stems from the underlying property of having bounded clique-width. The present paper illustrates this for the COLOURING problem, since Theorem 19 implies that COLOURING is solvable in polynomial time on $(2P_1 + P_3, \overline{2P_1 + P_3})$ -free graphs. By updating the summary of [16] (see also [29]), we find that there are twelve classes of (H_1, H_2) -free graphs, for which COLOURING could still potentially be solved in polynomial time by showing that their clique-width is bounded.

Future Work Apart from settling the classification of boundedness of clique-width for (H_1, H_2) -free graphs by addressing Open Problem 1, we aim to continue our study of boundedness of clique-width for graph classes closed under complementation. In particular, to complete the classification for \mathcal{H} -free graphs when $|\mathcal{H}| = 3$, we still need to determine those graphs H for which $(H, \overline{H}, \text{bull})$ -free graphs have bounded clique-width (there are several cases left).

2 Preliminaries

The *disjoint union* $(V(G) \cup V(H), E(G) \cup E(H))$ of two vertex-disjoint graphs G and H is denoted by $G + H$ and the disjoint union of r copies of a graph G is denoted by rG . For a subset $S \subseteq V(G)$, we let $G[S]$ denote the subgraph of G induced by S . If $S = \{s_1, \dots, s_r\}$ then, to simplify notation, we may also write $G[s_1, \dots, s_r]$ instead of $G[\{s_1, \dots, s_r\}]$. We write $G \setminus S = G[V(G) \setminus S]$; if $S = \{v\}$, we may write $G \setminus v$ instead. We write $G' \subseteq_i G$ to indicate that G' is an induced subgraph of G . The graphs C_r , K_r , $K_{1,r-1}$ and P_r denote the cycle, complete graph, star and path on r vertices, respectively. The graphs K_3 and $K_{1,3}$ are also called the *triangle* and *claw*. The graph $S_{h,i,j}$, for $1 \leq h \leq i \leq j$, denotes the *subdivided claw*, that is, the tree with only one vertex x of degree 3 and exactly three leaves, which are of distance h , i and j from x , respectively. Observe $S_{1,1,1} = K_{1,3}$. We let \mathcal{S} be the class of graphs each connected component of which is either a subdivided claw or a path.

For a set of graphs \mathcal{H} , a graph G is \mathcal{H} -free (or (\mathcal{H}) -free) if it has no induced subgraph isomorphic to a graph in \mathcal{H} . If $\mathcal{H} = \{H_1, \dots, H_p\}$ for some integer p , then we may write (H_1, \dots, H_p) -free instead of $(\{H_1, \dots, H_p\})$ -free, or, if $p = 1$, we may simply write H_1 -free. For a graph $G = (V, E)$, the set $N(u) = \{v \in V \mid uv \in E\}$ denotes the *neighbourhood* of $u \in V$. A graph is *bipartite* if its vertex set can be partitioned into two (possibly empty) independent sets. A graph is *split* if its vertex set can be partitioned into a (possibly empty) independent set and a (possibly empty) clique. Split graphs have been characterized as follows.

► **Lemma 5** ([28]). *A graph G is split if and only if it is $(2P_2, C_4, C_5)$ -free.*

Let X be a set of vertices in a graph $G = (V, E)$. A vertex $y \in V \setminus X$ is *complete* to X if it is adjacent to every vertex of X and *anti-complete* to X if it is non-adjacent to every

vertex of X . Similarly, a set of vertices $Y \subseteq V \setminus X$ is *complete* (resp. *anti-complete*) to X if every vertex in Y is complete (resp. anti-complete) to X . We say that the edges between two disjoint sets of vertices X and Y form a *matching* (resp. *co-matching*) if each vertex in X has at most one neighbour (resp. non-neighbour) in Y and vice versa (if each vertex has exactly one such neighbour, we say that the matching is *perfect*). A vertex $y \in V \setminus X$ *distinguishes* X if y has both a neighbour and a non-neighbour in X . The set X is a *module* of G if no vertex in $V \setminus X$ distinguishes X . A module X is *non-trivial* if $1 < |X| < |V|$, otherwise it is *trivial*. A graph is *prime* if it has only trivial modules.

To help reduce the amount of case analysis needed to prove Theorems 2 and 3, we will use the following lemma (proof omitted).

► **Lemma 6.** *Let $H \in \mathcal{S}$. Then H is $(K_{1,3} + P_1, 2P_2, 3P_1 + P_2, S_{1,1,2})$ -free if and only if H is an induced subgraph of $K_{1,3}$, $P_1 + P_4$, $2P_1 + P_3$ or sP_1 for some $s \geq 1$.*

The *clique-width* $\text{cw}(G)$ of a graph G is the minimum number of labels needed to construct G by using the following four operations: **1.** creating a new graph consisting of a single vertex v with label i ; **2.** taking the disjoint union of two labelled graphs G_1 and G_2 ; **3.** joining each vertex with label i to each vertex with label j ($i \neq j$); **4.** renaming label i to j . For an induced subgraph G' (or vertex set $X \subseteq V(G)$) of a graph G , the *subgraph complementation* operation replaces every edge present in G' (resp. $G[X]$) by a non-edge, and vice versa. For two disjoint vertex subsets S and T in G , the *bipartite complementation* operation replaces every edge with one end-vertex in S and the other one in T by a non-edge and vice versa. Let $k \geq 0$ be a constant and let γ be some graph operation. A class \mathcal{G}' is (k, γ) -*obtained* from a class \mathcal{G} if: **1.** every graph in \mathcal{G}' is obtained from a graph in \mathcal{G} by performing γ at most k times, and **2.** for every $G \in \mathcal{G}$ there exists at least one graph in \mathcal{G}' that is obtained from G by performing γ at most k times. We say that γ *preserves* boundedness of clique-width if for any finite constant k and any graph class \mathcal{G} , any graph class \mathcal{G}' that is (k, γ) -obtained from \mathcal{G} has bounded clique-width if and only if \mathcal{G} has bounded clique-width.

Fact 1. Vertex deletion preserves boundedness of clique-width [36].

Fact 2. Subgraph complementation preserves boundedness of clique-width [34].

Fact 3. Bipartite complementation preserves boundedness of clique-width [34].

We need the following lemmas on clique-width, the first one of which is easy to show.

► **Lemma 7.** *The clique-width of a graph of maximum degree at most 2 is at most 4.*

► **Lemma 8** ([23]). *Let H be a graph. The class of H -free graphs has bounded clique-width if and only if $H \subseteq_i P_4$.*

► **Lemma 9** ([37]). *Let $\{H_1, \dots, H_p\}$ be a finite set of graphs. If $H_i \notin \mathcal{S}$ for all $i \in \{1, \dots, p\}$ then the class of (H_1, \dots, H_p) -free graphs has unbounded clique-width.*

► **Lemma 10** ([15]). *Let G be a graph and let \mathcal{P} be the set of all induced subgraphs of G that are prime. Then $\text{cw}(G) = \max_{H \in \mathcal{P}} \text{cw}(H)$.*

3 The Proof of Theorem 1

We use the following lemma (proof omitted), which we also need for Theorem 3.

► **Lemma 11.** *If G is a (C_4, C_5, K_4) -free self-complementary graph, then $G \subseteq_i \text{bull}$.*

We are now ready to prove Theorem 1. Note that this theorem also holds if \mathcal{H} is infinite.

► **Theorem 1 (restated).** *Let \mathcal{H} be a set of non-empty self-complementary graphs. Then the class of \mathcal{H} -free graphs has bounded clique-width if and only if either $P_1 \in \mathcal{H}$ or $P_4 \in \mathcal{H}$.*

Proof. Suppose there is a graph $H \in \mathcal{H} \cap \{P_1, P_4\}$. Then the class of \mathcal{H} -free graphs is a subclass of the class of P_4 -free graphs, which have bounded clique-width by Lemma 8. Now suppose that $\mathcal{H} \cap \{P_1, P_4\} = \emptyset$. The only non-empty self-complementary graphs on at most five vertices that are not equal to P_1 and P_4 are the bull and the C_5 (see Figure 2). By Lemma 11, it follows that every graph in \mathcal{H} contains an induced subgraph isomorphic to the bull, C_4 , C_5 or K_4 . Therefore the class of \mathcal{H} -free graphs contains the class of (bull, C_4 , C_5 , K_4)-free graphs, which has unbounded clique-width by Lemma 9. ◀

4 The Proof of Theorem 2

In this section we prove Theorem 2 by combining known results with the new result that $(2P_1 + P_3, \overline{2P_1 + P_3})$ -free graphs have bounded clique-width. We prove this result in the following way. We first prove two useful structural lemmas, namely Lemmas 12 and 13, which we will use repeatedly throughout the proof. Next, we prove Lemmas 14 and 15, which state that if a $(2P_1 + P_3, \overline{2P_1 + P_3})$ -free graph G contains an induced C_5 or C_6 , respectively, then G has bounded clique-width. We do this by partitioning the vertices outside this cycle into sets, depending on their neighbourhood in the cycle. We then analyse the edges within these sets and between pairs of such sets. After a lengthy case analysis, we find that G has bounded clique-width in both these cases. By Fact 2 it only remains to analyse $(2P_1 + P_3, \overline{2P_1 + P_3})$ -free graphs that are also $(C_5, C_6, \overline{C_6})$ -free. Next, in Lemma 16, we show that if such graphs are prime, then they are either K_7 -free or $\overline{K_7}$ -free. In Lemma 18 we use the fact that $(2P_1 + P_3, \overline{2P_1 + P_3})$ -free graphs are χ -bounded to deal with the case where a graph in the class is K_7 -free. Finally, we combine all these results together to obtain the new result (Theorem 19). We omit the proofs of Lemmas 12–15.

► **Lemma 12.** *Let G be a $(2P_1 + P_3, \overline{2P_1 + P_3})$ -free graph whose vertex set can be partitioned into two sets X and Y , each of which is a clique or an independent set. Then by deleting at most one vertex from each of X and Y , it is possible to obtain subsets such that the edges between them form a matching or a co-matching.*

► **Lemma 13.** *Let G be a $(2P_1 + P_3, \overline{2P_1 + P_3})$ -free graph whose vertex set can be partitioned into a clique X and an independent set Y . Then by deleting at most three vertices from each of X and Y , it is possible to obtain subsets that are either complete or anti-complete to each other.*

► **Lemma 14.** *The class of $(2P_1 + P_3, \overline{2P_1 + P_3})$ -free graphs containing an induced C_5 has bounded clique-width.*

► **Lemma 15.** *The class of $(2P_1 + P_3, \overline{2P_1 + P_3})$ -free graphs containing an induced C_6 has bounded clique-width.*

► **Lemma 16.** *Every prime $(2P_1 + P_3, \overline{2P_1 + P_3}, C_6, \overline{C_6})$ -free graph is K_7 -free or $\overline{K_7}$ -free.*

Proof. Let G be a prime $(2P_1 + P_3, \overline{2P_1 + P_3}, C_6, \overline{C_6})$ -free graph. Suppose, for contradiction, that G contains an induced K_7 and an induced $\overline{K_7}$. We will show that in this case the graph G is not prime. Note that any induced K_7 and induced $\overline{K_7}$ in G can share at most one vertex. We may therefore assume that G contains a clique C on at least six vertices and

a vertex-disjoint independent set I on at least six vertices. Furthermore, we may assume that C is a maximum clique in $G \setminus I$ and I is a maximum independent set in $G \setminus C$ (if not, then replace C or I with a bigger clique or independent set, respectively).

By Lemma 13, there exist sets $R_1 \subset C$ and $R_2 \subset I$ each of size at most 3 such that $C' = C \setminus R_1$ is either complete or anti-complete to $I' = I \setminus R_2$. Without loss of generality, we may assume that R_1 and R_2 are minimal, in the sense that the above property does not hold if we remove any vertex from R_1 or R_2 . Note that the class of prime $(2P_1 + P_3, \overline{2P_1 + P_3}, C_6, \overline{C_6})$ -free graphs containing an induced K_7 and an induced $\overline{K_7}$ is closed under complementation. Therefore, complementing G if necessary (in which case the sets I and C will be swapped, and the sets R_1 and R_2 will be swapped), we may assume that C' is anti-complete to I' .

Claim 1. $|R_1| \leq 1$ and $|R_2| \leq 1$.

By construction, R_1 and R_2 each contain at most three vertices and I' and C' each contain at least three vertices. Since R_1 (resp. R_2) is minimal, every vertex of R_1 (resp. R_2) has at least one neighbour in I' (resp. C').

Choose $i_1, i_2 \in I'$ arbitrarily and suppose, for contradiction, that $y \in R_2$ is not complete to C' . Then y must have a neighbour $c_1 \in C'$ and a non-neighbour $c_2 \in C'$, so $G[i_1, i_2, y, c_1, c_2]$ is a $2P_1 + P_3$, a contradiction. Therefore R_2 is complete to C' . If $y, y' \in R_2$ then for arbitrary $c_1 \in C'$, the graph $G[i_1, i_2, y, c_1, y']$ is a $2P_1 + P_3$, a contradiction. It follows that $|R_2| \leq 1$.

Choose $c_1, c_2 \in C'$ arbitrarily. Suppose, for contradiction, that $x \in R_1$ has two non-neighbours $i_1, i_2 \in I'$. Recall that x must have a neighbour $i_3 \in I'$, so $G[i_1, i_2, i_3, x, c_1]$ is a $2P_1 + P_3$, a contradiction. Therefore every vertex of R_1 has at most one non-neighbour in I' . Suppose, for contradiction, that $x, x' \in R_1$. Since I' contains at least three vertices, there must be a vertex $i_1 \in I'$ that is a common neighbour of x and x' . Now $G[x, x', c_1, i_1, c_2]$ is a $\overline{2P_1 + P_3}$, a contradiction. It follows that $|R_1| \leq 1$. This completes the proof of Claim 1.

Note that Claim 1 implies that $|C'| \geq 5$ and $|I'| \geq 5$. Let A be the set of vertices in $V \setminus (C \cup I)$ that are complete to C' . If $x \in A$ is adjacent to $y \in R_1$ then by Claim 1 $C \cup \{x\}$ is a bigger clique than C , contradicting the maximality of C . It follows that A is anti-complete to R_1 . If $x, y \in A$ are adjacent then by Claim 1, $(C \cup \{x, y\}) \setminus R_1$ is a bigger clique than C , contradicting the maximality of C . It follows that A is an independent set. Furthermore, by the maximality of I and the definition of A , every vertex in $V \setminus (C \cup I \cup A)$ has a neighbour in I and non-neighbour in C' .

Claim 2. *Let x be a vertex in $V \setminus (C \cup I \cup A)$. Then either x is complete to I' , or x has exactly one neighbour in I .*

Suppose, for contradiction, that x has a non-neighbour z in I' , and two neighbours $y, y' \in I$. Now x cannot have another non-neighbour $z' \in I \setminus \{z\}$, otherwise $G[z, z', y, x, y']$ would be a $2P_1 + P_3$. Therefore x must be complete to $I \setminus \{z\}$. In particular, since $|I'| \geq 5$, this means that x has two neighbours in I' , say y_1 and y_2 (not necessarily distinct from y and y'). Recall that x must have a non-neighbour $c_1 \in C'$. Now $G[c_1, z, y_1, x, y_2]$ is a $2P_1 + P_3$. This contradiction completes the proof of Claim 2.

By Claim 2 we can partition the vertex set $V \setminus (C \cup I \cup A)$ into subsets $V_{I'}$ and V_x for every $x \in I$, where $V_{I'}$ is the set of vertices that are complete to I' , and V_x is the set of vertices whose unique neighbour in I is x . Let $U_x = V_x \cup \{x\}$.

Claim 3. *For all $x \in I'$, U_x is anti-complete to C' .*

Suppose $x \in I'$. Clearly x is anti-complete to C' . Suppose, for contradiction, that $y \in U_x \setminus \{x\} = V_x$ has a neighbour $z \in C'$ and choose $u, v \in I' \setminus \{x\}$. Then $G[u, v, x, y, z]$ is a $2P_1 + P_3$. This contradiction completes the proof of Claim 3.

Claim 4. *For every $x \in I$, the set U_x is a clique.*

Note that $x \in I$ is adjacent to all other vertices of U_x , by definition. If $y, z \in V_x$ are non-adjacent then $(I \setminus \{x\}) \cup \{y, z\}$ would be a bigger independent set than I , a contradiction.

Claim 5. *If $x, y \in I$ are distinct, then U_x is anti-complete to U_y .*

Clearly x is anti-complete to U_y and y is anti-complete to U_x . Suppose, for contradiction, that $x' \in U_x \setminus \{x\}$ is adjacent to $y' \in U_y \setminus \{y\}$. Choose $u, v \in I \setminus \{x, y\}$. Then $G[u, v, x, x', y']$ is a $2P_1 + P_3$. This contradiction completes the proof of Claim 5.

Claim 6. *For every $x \in I'$, the set U_x is complete to $V_{I'}$.*

By definition, x is complete to $V_{I'}$. Suppose, for contradiction that $x' \in U_x \setminus \{x\}$ is non-adjacent to $y \in V_{I'}$. As $y \notin A$, the vertex y must have a non-neighbour $c_1 \in C'$ and note that x' is non-adjacent to c_1 by Claim 3. Choose $u, v \in I' \setminus \{x\}$. Then $G[c_1, x', u, y, v]$ is a $2P_1 + P_3$. This contradiction proves Claim 6.

Suppose $x \in I'$. Claim 4 implies that U_x is a clique, Claim 3 that U_x is anti-complete to C' and Claim 6 that U_x is complete to $V_{I'}$. Furthermore for all $y \in I \setminus \{x\}$, Claim 5 implies that U_x is anti-complete to U_y . We conclude that given any two vertices $x, y \in I'$, no vertex in $V \setminus (A \cup R_1 \cup U_x \cup U_y)$ can distinguish the set $U_x \cup U_y$. In the remainder of the proof, we will show there exist $x, y \in I'$ such that no vertex of $A \cup R_1$ distinguishes the set $U_x \cup U_y$, meaning that $U_x \cup U_y$ is a non-trivial module, contradicting the assumption that G is prime.

Claim 7. *If $u \in A \cup R_1$ then either u is anti-complete to U_x for all $x \in I'$ or else u is complete to U_x for all but at most one $x \in I'$.*

Suppose, for contradiction, that the claim does not hold for a vertex $u \in A \cup R_1$. Then u must have a neighbour $x' \in U_x$ for some $x \in I'$ and must have non-neighbours $y' \in U_y$ and $z' \in U_z$ for some $y, z \in I'$ with $y \neq z$. Since $|I'| \geq 5$, we may also assume that $x \notin \{y, z\}$. Choose $c_1 \in C'$ arbitrarily. By Claim 3, c_1 is non-adjacent to x', y' and z' . It follows that $G[y', z', c_1, u, x']$ is a $2P_1 + P_3$. This contradiction completes the proof of Claim 7.

Let A^* denote the set of vertices in $A \cup R_1$ that have a neighbour in U_x for some $x \in I'$.

Claim 8. *The set A^* is complete to all, except possibly two, sets $U_x, x \in I'$.*

Suppose, for contradiction, that there are three different vertices $x, y, z \in I'$ such that A^* is complete to none of the sets U_x, U_y , and U_z . By Claim 7 and the definition of A^* , every vertex in A^* is complete to at least two of the sets U_x, U_y, U_z . Therefore there exist three vertices $u, v, w \in A^*$ such that:

- u is not adjacent to some vertex $x' \in U_x$, but is complete to U_y and U_z ;
- v is not adjacent to some vertex $y' \in U_y$, but is complete to U_x and U_z ;
- w is not adjacent to some vertex $z' \in U_z$, but is complete to U_x and U_y .

Therefore $G[u, y', w, x', v, z']$ is a C_6 . This contradiction completes the proof of Claim 8.

Now, as $|I'| \geq 5$, Claims 7 and 8 imply there exist two distinct vertices $x, y \in I'$ such that every vertex of $A \cup R_1$ is either complete or anti-complete to $U_x \cup U_y$. Hence $U_x \cup U_y$ is a non-trivial module in G , contradicting the fact that G is prime. This completes the proof. ◀

The *chromatic number* $\chi(G)$ of a graph G is the minimum positive integer k such that G is k -colourable. The *clique number* $\omega(G)$ of G is the size of a largest clique in G . A class \mathcal{C} of graphs is χ -bounded if there is a function f such that $\chi(G) \leq f(\omega(G))$ for all $G \in \mathcal{C}$.

► **Lemma 17** ([32]). *For every natural number k the class of P_k -free graphs is χ -bounded.*

► **Lemma 18.** *For $k \geq 1$, $(K_k, 2P_1 + P_3, \overline{2P_1 + P_3})$ -free graphs have bounded clique-width.*

Proof. Fix a constant $k \geq 1$ and let G be a $(K_k, 2P_1 + P_3, \overline{2P_1 + P_3})$ -free graph. By Lemma 15, we may assume that G is C_6 -free. Since G is $(2P_1 + P_3)$ -free, it is P_7 -free, so by Lemma 17 it has chromatic number at most ℓ for some constant ℓ . This means that we can partition the vertices of G into ℓ independent sets V_1, \dots, V_ℓ (some of which may be empty). By Lemma 12, deleting finitely many vertices (which we may do by Fact 2), we may assume that for all distinct $i, j \in \{1, \dots, \ell\}$, the edges between V_i and V_j form a matching or a co-matching. Since G is C_6 -free, if the vertices between V_i and V_j form co-matching, this co-matching can contain at most two non-edges. Therefore, by deleting finitely many vertices (which we may do by Fact 2), we may assume that the edges between V_i and V_j form a matching or V_i and V_j are complete to each other. By deleting finitely many vertices (which we may do by Fact 2), we may assume that each set V_i is either empty or contains at least five vertices.

Suppose the edges from V_i to V_j and the edges from V_i to V_k form a matching and that there is a vertex $x \in V_i$ that has a neighbour $y \in V_j$ and a neighbour $z \in V_k$. Then y must be adjacent to z , otherwise for $x', x'' \in V_i \setminus \{x\}$ the graph $G[x', x'', y, x, z]$ would be a $2P_1 + P_3$, a contradiction. If V_j is complete to V_k then for $y', y'' \in V_j$, $z' \in V_k$ and $x', x'' \in V_i \setminus (N(y') \cup N(y'') \cup N(z'))$ (such vertices exist since each of y', y'' and z' have at most one neighbour in V_i and V_i contains at least five vertices) we have $G[x', x'', y', z', y'']$ is a $2P_1 + P_3$, a contradiction. Therefore the edges between V_j and V_k form a matching.

Now for each $i, j \in \{1, \dots, \ell\}$ with $i < j$, if V_i is complete to V_j , then by Fact 2 we may apply a bipartite complementation between V_i and V_j . Let G' be the resulting graph. The previous paragraph implies if x has two neighbours y and z in G' then y is adjacent to z in G , so G' is P_3 -free. So G' is a disjoint union of cliques, and thus has clique-width at most 2. ◀

We are now ready to prove our main result.

► **Theorem 19.** *The class of $(2P_1 + P_3, \overline{2P_1 + P_3})$ -free graphs has bounded clique-width.*

Proof. Let G be a $(2P_1 + P_3, \overline{2P_1 + P_3})$ -free graph. By Lemma 10, we may assume that G is prime. If G contains an induced C_6 then we are done by Lemma 15. If G contains an induced \overline{C}_6 then we are done by Lemma 15 and Fact 2. We may therefore assume that G is also (C_6, \overline{C}_6) -free. By Lemma 16, we may assume that G is either K_7 -free or \overline{K}_7 -free. By Fact 2, we may assume that G is K_7 -free. Lemma 18 completes the proof. ◀

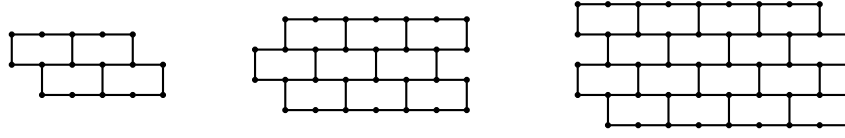
Combining Theorem 19 with the current state-of-the-art for classifying the boundedness of clique-width for (H_1, H_2) -free graphs (see [20]) yields Theorem 2 (proof omitted).

► **Theorem 2 (restated).** *For a graph H , the class of (H, \overline{H}) -free graphs has bounded clique-width if and only if H or \overline{H} is an induced subgraph of $K_{1,3}$, $P_1 + P_4$, $2P_1 + P_3$ or sP_1 for some $s \geq 1$.*

5 New Classes of Unbounded Clique-Width and Proof of Theorem 3

In this section we first identify three new graph classes of unbounded clique-width. To do so, we need the notion of a *wall*. Figure 4 shows three walls of different height (see e.g. [12] for a formal definition). The class of walls is well known to have unbounded clique-width; see for example [34]. A k -subdivided wall is the graph obtained from a wall after subdividing each edge exactly k times for some constant $k \geq 0$. The following lemma is well known.

► **Lemma 20** ([37]). *Let $k \geq 0$. The class of k -subdivided walls has unbounded clique-width.*



■ **Figure 4** Walls of height 2, 3 and 4, respectively.

Dabrowski et al. [17] showed that $(4P_1, \overline{3P_1 + P_2})$ -free graphs have unbounded clique-width. However, their construction was not C_5 -free. We give an alternative construction that neither contains an induced C_5 nor an induced copy of any larger self-complementary graph. Namely, we first consider a graph H' that is a 1-subdivided wall. By Lemma 20, such graphs have unbounded clique-width. Let V_1 be the set of vertices in H' that are also present in H . Let V_2 be the set of vertices obtained from subdividing vertical edges in H , and let V_3 be the set of vertices obtained from subdividing horizontal edges. Note that V_1 , V_2 and V_3 are independent sets. Furthermore, every vertex in V_1 has at most one neighbour in V_2 and at most two neighbours in V_3 , while every vertex in $V_2 \cup V_3$ has at most two neighbours, each of which is in V_1 . Let H'' be the graph obtained from H' by applying complementations on V_1 , V_2 and V_3 . By Fact 2, such graphs have unbounded clique-width. We claim that H'' is $(\{4P_1, \overline{3P_1 + P_2}\} \cup \mathcal{F})$ -free, where \mathcal{F} is the set of all self-complementary graphs on at least five vertices that are not equal to the bull (proof omitted). This leads to the following theorem.

► **Theorem 21.** *Let \mathcal{F} be the set of all self-complementary graphs on at least five vertices that are not equal to the bull. The class of $(\{4P_1, \overline{3P_1 + P_2}\} \cup \mathcal{F})$ -free graphs has unbounded clique-width.*

By Lemma 11, any self-complementary graph on at least five vertices not equal to the bull has an induced subgraph isomorphic to C_4 , C_5 or K_4 , so such graphs are automatically excluded from the class specified in our next theorem. Its proof, which we omitted, is based on observing that the construction of Brandstädt et al. [7] for proving that $(C_4, K_{1,3}, K_4, \overline{2P_1 + P_2})$ -free graphs have unbounded clique-width is, in fact, also C_5 -free.

► **Theorem 22.** *$(C_4, C_5, K_{1,3}, K_4, \overline{2P_1 + P_2})$ -free graphs have unbounded clique-width.*

For our third result we need two lemmas. Given natural numbers k, ℓ , let $Rb(k, \ell)$ denote the smallest number such that if every edge of a $K_{Rb(k, \ell), Rb(k, \ell)}$ is coloured red or blue then it will contain a monochromatic $K_{k, \ell}$. It is known that $Rb(k, \ell)$ always exists [1].

► **Lemma 23** ([1]). $Rb(2, 2) = 5$.

Let $G = (V, E)$ be a split graph. By definition, G has a *split partition*, that is, a partition of V into two (possibly empty) sets C and I , where C is a clique and I is an independent set. A split graph G may have multiple split partitions. For self-complementary split graphs we can show the following (proof omitted).

► **Lemma 24.** *Let G be a self-complementary split graph on n vertices. If n is even, then G has a unique split partition and in this partition the clique and independent set are of equal size. If n is odd, then there exists a vertex v such that $G \setminus v$ is also a self-complementary split graph.*

► **Theorem 25.** *Let \mathcal{F} be the set of all self-complementary graphs on at least five vertices that are not equal to the bull. The class of $(\{C_4, 2P_2\} \cup \mathcal{F})$ -free graphs has unbounded clique-width.*

Proof. First note that the only self-complementary graph on five vertices apart from the bull is the C_5 . Since $C_5 \in \mathcal{F}$, by Lemma 5, we may remove all graphs that are not split from \mathcal{F} , apart from C_5 ; in particular, this means that we remove X_4, \dots, X_{10} from \mathcal{F} (see also Figure 3). By Lemma 24, if $G \in \mathcal{F}$ has an odd number of vertices, but is not equal to C_5 , then $G \setminus v \in \mathcal{F}$ for some vertex $v \in V(G)$. Let \mathcal{F}' be the set of self-complementary split graphs on at least eight vertices that have an even number of vertices. It follows that the class of \mathcal{F}' -free split graphs is equal to the class of $(\{C_4, 2P_2\} \cup \mathcal{F})$ -free graphs.

Consider a 2-subdivided wall H and note that it is (C_4, C_8) -free; recall that 2-subdivided walls have unbounded clique-width by Lemma 20. Note that H is a bipartite graph, and fix a bipartition (A, B) of H . Let H' be the graph obtained from H by applying a complementation to A and note that H' is a split graph. In H' , every vertex in B has a non-neighbour in A and every vertex in A has a neighbour in B , so (A, B) is the unique split partition of H' . By Fact 2, the family of graphs H' produced in this way also has unbounded clique-width. It remains to show that H' is \mathcal{F}' -free.

First note that X_1 (see also Figure 3) is the graph obtained from the bipartite graph C_8 by complementing one of the independent sets in the bipartition. Since H is C_8 -free and X_1 has a unique split partition (by Lemma 24), it follows that H' is X_1 -free. Note that H is C_4 -free and so H' does not contain two vertices x, x' in the clique A and two vertices y, y' in the independent set B such that $\{x, x'\}$ is complete to $\{y, y'\}$. Now suppose $G \in \mathcal{F}' \setminus \{X_1\}$. Recall that by Lemma 24, G has a unique split partition (C, I) , and this partition has the property that $|C| = |I|$. Therefore, if we can show that G contains two vertices $x, x' \in C$ and two vertices $y, y' \in I$ with $\{x, x'\}$ complete to $\{y, y'\}$ then H' must be G -free and the proof is complete. It is easy to verify that this is the case if $G \in \{X_2, X_3\}$ (see also Figure 3 and recall that $X_4, \dots, X_{10} \notin \mathcal{F}'$). Otherwise, G has at least ten vertices so $|C|, |I| \geq 5$. By Lemma 23, there must be two vertices $x, x' \in C$ and two vertices $y, y' \in I$ with $\{x, x'\}$ either complete or anti-complete to $\{y, y'\}$. In the first case we are done. In the second case we note that complementing G will swap the sets C and I and make $\{x, x'\}$ complete to $\{y, y'\}$, returning us to the previous case. We conclude that H' is indeed \mathcal{F}' -free. \blacktriangleleft

We are now ready to prove Theorem 3. Note that this theorem holds even if \mathcal{F} is infinite.

► **Theorem 3 (restated).** *Let \mathcal{F} be a set of self-complementary graphs on at least five vertices not equal to the bull. For a graph H , the class of $(\{H, \overline{H}\} \cup \mathcal{F})$ -free graphs has bounded clique-width if and only if H or \overline{H} is an induced subgraph of $K_{1,3}$, $P_1 + P_4$, $2P_1 + P_3$ or sP_1 for some $s \geq 1$.*

Proof. Let H be a graph. By Theorem 2, if H or \overline{H} is an induced subgraph of $K_{1,3}$, $P_1 + P_4$, $2P_1 + P_3$ or sP_1 for some $s \geq 1$, then the class of $(\{H, \overline{H}\} \cup \mathcal{F})$ -free graphs has bounded clique-width. Consider a graph $F \in \mathcal{F}$. Since F contains at least five vertices and is not isomorphic to the bull, Lemma 11 implies that F contains an induced subgraph isomorphic to C_4 , C_5 or K_4 , and so $F \notin \mathcal{S}$. Therefore the class of $(\{H, \overline{H}\} \cup \mathcal{F})$ -free graphs contains the class of $(H, \overline{H}, C_4, C_5, K_4)$ -free graphs. If $H \notin \mathcal{S}$ and $\overline{H} \notin \mathcal{S}$, then the class of $(H, \overline{H}, C_4, C_5, K_4)$ -free graphs has unbounded clique-width by Lemma 9. By Fact 2, we may therefore assume that $H \in \mathcal{S}$. By Lemma 6, we may assume H contains $K_{1,3} + P_1$, $2P_2$, $3P_1 + P_2$ or $S_{1,1,2}$ as an induced subgraph, otherwise we are done. In this case, the class of $(\{H, \overline{H}\} \cup \mathcal{F})$ -free graphs contains the class of $(K_{1,3}, K_4, C_4, C_5)$ -free, $(\{2P_2, C_4\} \cup \mathcal{F})$ -free, $(\{4P_1, 3P_1 + P_2\} \cup \mathcal{F})$ -free or $(K_{1,3}, 2P_1 + P_2, C_4, C_5, K_4)$ -free graphs, respectively. These classes have unbounded clique-width by Theorems 22, 25, 21 and 22, respectively. This completes the proof. \blacktriangleleft

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